

Export LC Cancellation Islamic User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Export LC Cancellation Islamic User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Cancellation Islamic process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

| Convention      | Meaning                                                                                                                                |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------|
| <b>boldface</b> | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.                         |
| <i>italic</i>   | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.                          |
| monospace       | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

| Icons                                                                               | Function    |
|-------------------------------------------------------------------------------------|-------------|
|  | Exit        |
|  | Add row     |
|  | Delete row  |
|  | Option List |

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

### 3. Export LC Cancellation Islamic

As part of Conventional Export LC Cancel, System enables the user to Cancel to the LC which had been already issued.

The various stages involved for Export LC Cancel are:

- Receive and verify documents and Input Basic details(Non Online Channel)- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of Cancel of LC - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Cancel process flow is similar to that of conventional Export LC Cancel process flow.

In the following sections, let's look at the details for export LC cancellation - Islamic process.

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

[3.3 Data Enrichment](#)

[3.4 Exceptions](#)

[3.5 Multi Level Approval](#)

[3.6 Reject Approval](#)

#### 3.1 Common Initiation Stage

The user can initiate the new export Islamic LC cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

ORACLE Initiate Task ( DEFAULTTIVITY) Oracle Banking Trade Finan... May 2, 2021 ZART/ subham@gmail.com

Registration

Process Name Advising Bank Reference \* Branch \*

Export LC Cancellation - Islamic PK2ELAC211252002 PK2-Oracle Banking Trade Finan...

Proceed Clear

Provide the details based on the description in the following table:

| Field                   | Description                                   |
|-------------------------|-----------------------------------------------|
| Process Name            | Select the process name to initiate the task. |
| Advising Bank Reference | Select the advising bank reference.           |
| Branch                  | Select the branch.                            |

### 3.1.0.1 Action Buttons

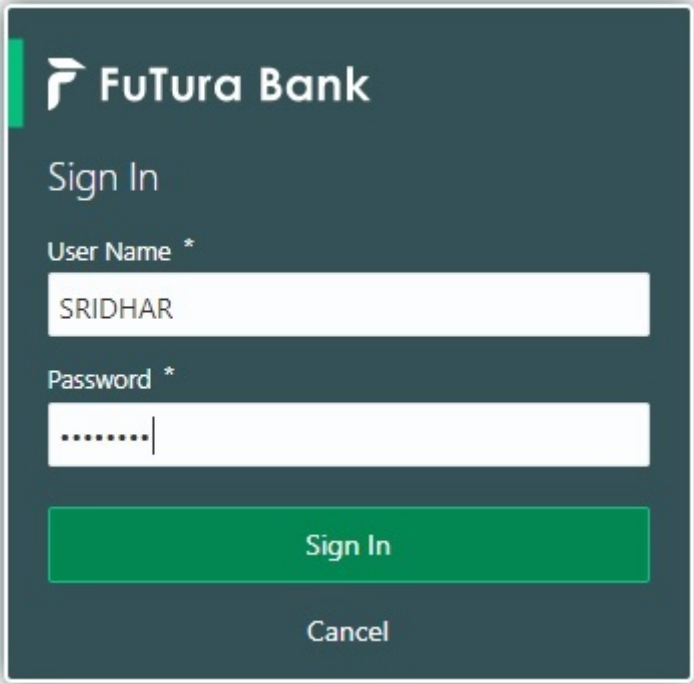
Use action buttons based on the description in the following table:

| Field   | Description                                                        |
|---------|--------------------------------------------------------------------|
| Proceed | Task will get initiated to next logical stage.                     |
| Clear   | The user can clear the contents update and can input values again. |

## 3.2 Registration

A user can register request for an Islamic Export LC Cancel received at the front desk (as an application received physically/received by mail/fax). During registration user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC cancellation expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo is the heading 'Sign In'. There are two input fields: 'User Name \*' with the text 'SRIDHAR' entered, and 'Password \*' with masked characters '.....'. Below these fields is a large green button labeled 'Sign In'. At the bottom center, there is a link labeled 'Cancel'.



- [illegible]

- The screenshot displays the Oracle Banking Trade Finance dashboard with the following components:

  - Header:** Oracle logo, Dashboard title, and user profile (ZARFA, subham@gnss).
  - Left Navigation Menu:**
    - Waiting Customer Confirmation
    - Business Process Confirmation
    - Completed Tasks
    - Due Tasks
    - Old Tasks
    - My Tasks
    - Other User Tasks
    - Search
    - Supervisor Tasks
    - Trade Finance
      - Trade Finance - Islamic
      - Link Guarantee Advice
      - Link Guarantee Assurance
      - Report - Documentary Collection
      - Report - Documentary Credit
      - Report LC Update Drawings - Islamic
      - Report LC Advice - Islamic
      - Report LC Amendment - Islamic
      - Report LC Amendment Beneficiary Consent - Islamic
      - Report LC Cancellation - Islamic
      - Report LC Closure - Islamic
  - Priority-Summary Widget:**

Please select process...

| Branch              | Process Name | Stage Name | No of High Priority |
|---------------------|--------------|------------|---------------------|
| No data to display. |              |            |                     |

Page 1 of 0 (0 of 0 items)
  - % Oversight Corrections Widget:**

Data Filtered on "All"

Y-axis: 0 to 60

X-axis: Week -4, Week -3, Week -2

Transaction Racket: 0
  - Model Inference Time Widget:**

Data Filtered on "All"

Y-axis: 0 to 60 Seconds

X-axis: Week -4, Week -3, Week -2

Transaction Racket: 0
  - High Priority Tasks Widget:**

| Process Reference Number | Branch | Process Name      |
|--------------------------|--------|-------------------|
| PK2GAD0000012104         | PK2    | Guarantee SBLC Ad |
| PK2GAD0000012104         | PK2    | Guarantee SBLC Ad |

Page 1 of 10 (1-2 of 20 items)

0 0 Filtered/Hidden
  - Model Tag Performance Widget:**

No data to display
  - Pending Exception Approval (0) Widget:**

Visual bar chart showing 0 items.
  - SLA Status Summary Widget:**

No data to display
  - Draft Confirmation Pending Widget:**

| Process Reference Number | Customer Id | Approval  |
|--------------------------|-------------|-----------|
| PK2LC0000011343          | 001044      | 16-03-202 |

Page 1 of 1 (1 of 1 items)

0 0 Filtered/Hidden
  - Hand-off Failure Widget:**

| Process Reference Number | Branch | Process Name       |
|--------------------------|--------|--------------------|
| PK1GTE0000012103         | PK2    | Guarantee Issuance |
| PK2GTE0000011983         | PK2    | Guarantee Amendm   |

Page 1 of 10 (1-2 of 20 items)

0 0 Filtered/Hidden

3-3

### 3.2.1 Application Details

Provide the Application Details based on the description in the following table:

| Field                          | Description                                                                                                                                                                                                                                                                                                                       | Sample Values               |
|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Advising Bank Reference Number | Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV.<br><br>In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended. |                             |
| Beneficiary ID                 | Read only field.<br>Beneficiary ID will be auto-populated based on the selected LC from the look-up.                                                                                                                                                                                                                              | 001344                      |
| Beneficiary                    | Read only field.<br>Beneficiary Name will be auto-populated based on the selected LC from the look-up.                                                                                                                                                                                                                            | EMR & CO                    |
| Branch                         | Read only field.<br>Branch details will be auto-populated based on the selected LC from the look-up.                                                                                                                                                                                                                              | 203-Bank Futura -Branch FZ1 |
| Process Reference Number       | Unique sequence number for the transaction.<br>This is auto generated by the system based on process name and branch code.                                                                                                                                                                                                        |                             |
| Priority                       | System will default the Priority as Low/Medium/High based on maintenance.                                                                                                                                                                                                                                                         | High                        |

| Field                        | Description                                                                                                                                                                                                                                                               | Sample Values |
|------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Submission Mode              | Select the submission mode of Export LC Cancellation request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority.<br><br><b>Desk-</b> Request received through Desk<br><b>Courier-</b> Request received through Courier | Desk          |
| Cancellation Date            | By default, the application will display branch's current date and enables the user to change the date to any back date.<br><br><div style="text-align: center;"><b>Note</b><br/><br/>Future date selection is not allowed.</div>                                         | 04/13/2018    |
| Issuing Bank                 | Read only field.<br><br>Issuing Bank details will be auto-populated based on the selected LC from the look-up.                                                                                                                                                            |               |
| Non Bank Issuer              | Read only field.<br><br>Non Bank Issuer details will be auto-populated based on the selected LC from the look-up.                                                                                                                                                         |               |
| User Reference Number        | Read only field.<br><br>User Reference Number will be auto populated by the system based on the selected Export LC.                                                                                                                                                       |               |
| Beneficiary Consent Required | By default, the toggle must be 'On' for Export LC Cancellation process.                                                                                                                                                                                                   |               |

### 3.2.2 LC Details

Details  
Type  
Form Of Documentary Credit  
Date Of Expiry  
Currency Code, Amount  
Outstanding Amount

Product Code  
Contract Reference Number  
31D - Place Of Expiry  
Amount In Local Currency

Product Description  
31C - Date Of Issue  
51A - Applicant Bank  
39A - Percentage Credit Amount Tolerance

Advising Bank  
40E - Applicable Rules  
Applicant  
39C- Additional Amounts Covered

Hold Cancel Save & Close Submit

This screen displays the data from the LC advised.

| Field   | Description                                                         | Sample Values |
|---------|---------------------------------------------------------------------|---------------|
| LC Type | Read only field.<br>LC type will be populated based on selected LC. |               |

| Field                            | Description                                                                                                                      | Sample Values |
|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------------|
| Product Code                     | Read only field.<br>This field displays the product code of the selected LC.                                                     |               |
| Product Description              | Read only field.<br>This field displays the description of the product as per the product code.                                  |               |
| Advising Bank                    | This field displays the advising bank details of the selected LC.                                                                |               |
| 40A - Form of Documentary Credit | Read only field.<br>This field displays the form of documentary credit details of the selected LC.                               |               |
| Contract Reference Number        | Read only field.<br>This field displays the form of contract reference number of the selected LC.                                |               |
| Date of Issue                    | Read only field.<br>This field displays the LC issuance date.                                                                    |               |
| Applicable Rules                 | Read only field.<br>This field displays the rules of the selected Export LC.                                                     |               |
| Date Of Expiry                   | Read only field.<br>This field displays the expiry date of the selected LC.                                                      |               |
| Place of Expiry                  | Read only field.<br>This field displays the place of expiry of the selected LC.                                                  |               |
| Applicant Bank                   | Read only field.<br>This field displays the applicant bank details of the selected LC.                                           |               |
| Applicant                        | Read only field.<br>This field displays the details of the applicant of the selected LC.                                         |               |
| Currency Code, Amount            | Read only field.<br>This field displays the details of the currency/ amount of the selected LC.                                  |               |
| Amount In Local Currency         | Read only field.<br>System fetches the local currency equivalent value for the LC amount from back office (with decimal places). |               |

| Field                              | Description                                                                                                       | Sample Values |
|------------------------------------|-------------------------------------------------------------------------------------------------------------------|---------------|
| Percentage Credit Amount Tolerance | Read only field.<br>This field displays the details of the percentage credit amount tolerance of the selected LC. |               |
| Additional Amount Covered          | Read only field.<br>This field displays the details of the additional amount covered of the selected LC.          |               |
| LC Outstanding Amount              | Read only field.<br>This field displays the details of the LC outstanding amount of the selected LC.              |               |

### 3.2.3 Miscellaneous

ORACLE (DEFAULT ENTITY) Oracle Banking Trade Finance Jun 13, 2021 ZARIT/ subham@gmail.com

Port LC Cancellation - Islamic Documents Remarks Customer Instruction

Application Details

Issuing Bank Reference \* ELIC211254508

Beneficiary ID 001044

Beneficiary GOODCARE PLC

Branch PK2-Oracle Banking Trade Finan...

Ess Reference Number IELC000024250

Priority Medium

Submission Mode Desk

Cancellation Date Jun 13, 2021

Issuing Bank 041 WELLS FARGO L

50B Non Bank Issuer

User Reference Number PK2ELIC211254508

Beneficiary Consent Req

View LC View LC Event

Details

Type Islamic

Product Code ELIC

Product Description Islamic Export LC with Adding Confirm

Advising Bank

Form Of Documentary Credit EVOCABLE

Contract Reference Number PK2ELIC211254508

31C - Date Of Issue May 5, 2021

40E - Applicable Rules UCP LATEST VERSION

Date Of Expiry Jun 13, 2021

31D - Place Of Expiry ASDF

51A - Applicant Bank

Applicant 001043 MARKS AND SP

Currency Code, Amount GBP £500,000.00

Amount In Local Currency GBP £500,000.00

39A - Percentage Credit Amount Tolerance 10 / 10

39C - Additional Amounts Covered

Outstanding Amount 000

Hold Cancel Save & Close Sub

Provide the Miscellaneous Details based on the description in the following table:

| Field     | Description                                                                                                                                  | Sample Values |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Upload the required documents.                                                                                                               |               |
| Remarks   | Provide any additional information regarding the cancellation request. This information can be viewed by other users processing the request. |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Message  | Click Common Group Message button, to send MT799 and MT999 messages from within the task.                                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| View LC               | Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.                                                                                                                                                                                                                                                                                                                                                                  |               |
| <b>Action Buttons</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Submit                | <p>On submit, task will get moved to next logical stage of Export LC Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>                                                                                                                                                                                                                                                                                        |               |
| Save & Close          | <p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                          |               |
| Cancel                | Cancels the Export LC Cancellation Registration stage inputs.                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |
| Hold                  | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                                                      |               |
| Checklist             | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.                                                                                                                                                                                                                                                                                                                                                     |               |

### 3.3 Data Enrichment

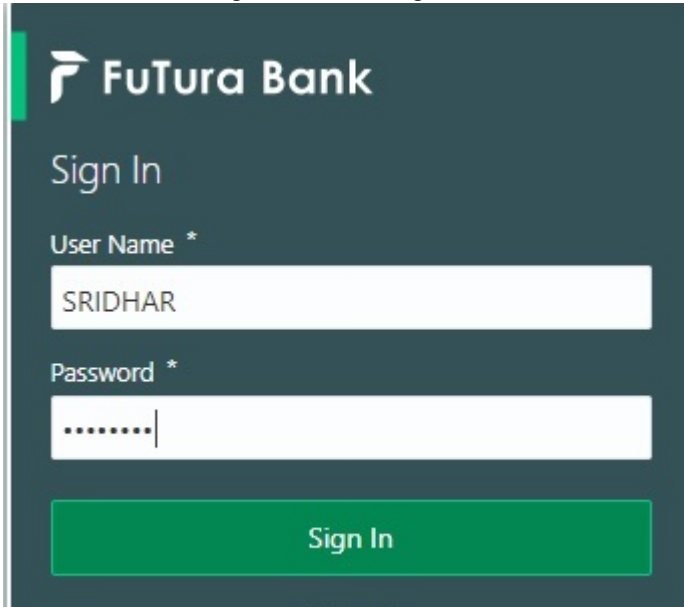
A DE User can input new Islamic Export LC Cancel. As part of data enrichment, user will enter/update basic details of the incoming request.

## Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

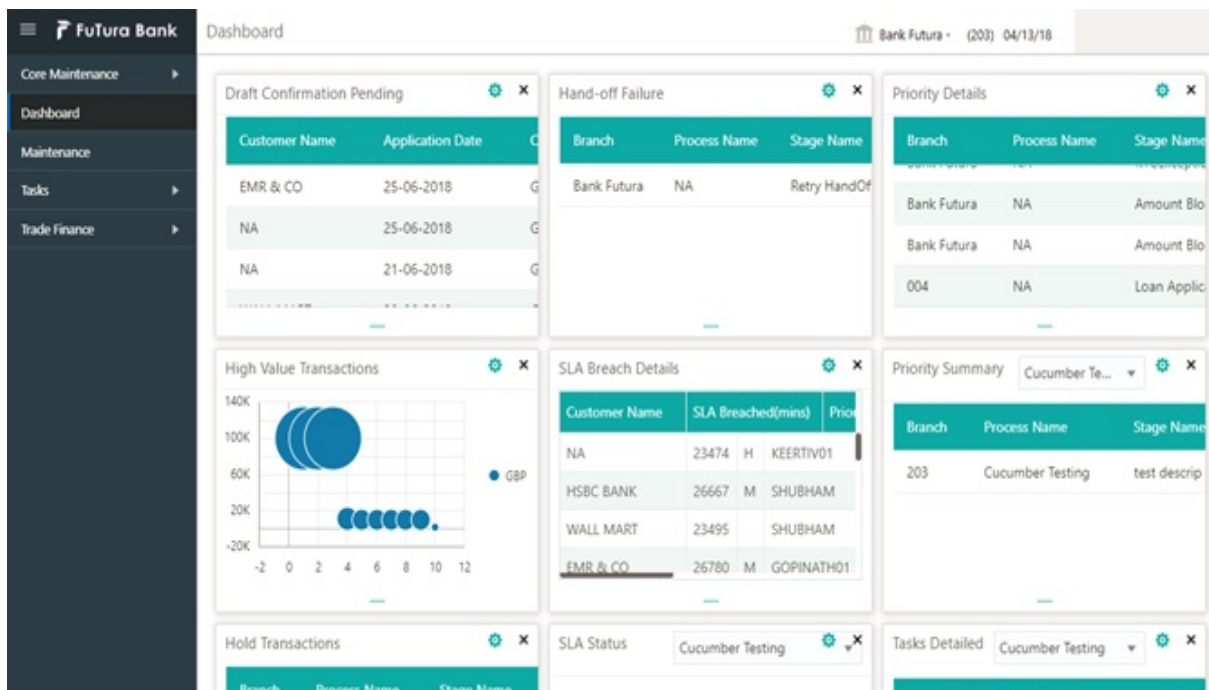
Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is located at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.





### 3. Click **Tasks**> **Free Tasks**.

| Action         | Priority | Process Name                   | Process Reference Number | Application Number | Stage                          | Application Date | Branch | Customer Number |
|----------------|----------|--------------------------------|--------------------------|--------------------|--------------------------------|------------------|--------|-----------------|
| Acquire & Edit | Medium   | Islamic Export LC Cancellation | PK2ELCC000012122         | PK2ELCC000012122   | DataEnrichment                 | 22-04-03         | PK2    | 001044          |
| Acquire & Edit | Medium   | Islamic Export Documentar...   | 000IEDB000012120         | 000IEDB000012120   | Approval Task Level 1          | 22-04-02         | PK2    | 000335          |
| Acquire & Edit | Medium   | Guarantee SBLC Issuance ...    | PK2GISC000012114         | PK2GISC000012114   | Approval Task Level 1          | 22-04-02         | PK2    | 000325          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012104         | PK2GADC000012104   | AmountBlock Exception App...   | 22-04-02         | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012104         | PK2GADC000012104   | Sanction Check Exceptional ... |                  | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012102         | PK2GADC000012102   | AmountBlock Exception App...   | 22-04-02         | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012102         | PK2GADC000012102   | Sanction Check Exceptional ... |                  | PK2    | 001044          |
| Acquire & Edit | Medium   | Guarantee Issuance             | PK1GTEI000012103         | PK1GTEI000012103   | Handoff RetryTask              | 22-04-02         | PK2    | 000322          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012100         | PK2GADC000012100   | DataEnrichment                 | 22-04-01         | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012089         | PK2GADC000012089   | DataEnrichment                 | 22-04-01         | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012077         | PK2GADC000012077   | AmountBlock Exception App...   | 22-04-01         | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012077         | PK2GADC000012077   | Sanction Check Exceptional ... |                  | PK2    | 001044          |
| Acquire & Edit | High     | Guarantee SBLC Advised ...     | PK2GADC000012079         | PK2GADC000012079   | KYC Exceptional approval       | 22-04-01         | PK2    | 001044          |

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

| Action | Priority | Process Name                   | Process Reference Number | Application Number | Stage                        | Application Date | Branch | Customer Number | An |
|--------|----------|--------------------------------|--------------------------|--------------------|------------------------------|------------------|--------|-----------------|----|
| Edit   | Medium   | Islamic Export LC Cancellation | PK2ELCC000012122         | PK2ELCC000012122   | DataEnrichment               | 22-04-03         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Import Documentar...   | PK2IIDL000011111         | PK2IIDL000011111   | AmountBlock Exception App... | 22-03-11         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Export Documentar...   | PK2IEDU000012121         | PK2IEDU000012121   | DataEnrichment               | 22-04-03         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Export Documentar...   | 000IEDB000012120         | 000IEDB000012120   | Approval Task Level 1        | 22-04-02         | PK2    | 000335          |    |
| Edit   | Medium   | Islamic Guarantee Advice C...  | PK2IGCD000011999         | PK2IGCD000011999   | Approval Task Level 1        | 22-03-31         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee Cancellation Isla... | PK2IGCU000011961         | PK2IGCU000011961   | DataEnrichment               | 22-03-31         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee SBLC Issuance-C...   | PK2IGCU000011844         | PK2IGCU000011844   | Approval Task Level 1        | 22-03-29         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee Advise Internal ...  | PK2IGIA000011779         | PK2IGIA000011779   | DataEnrichment               | 22-03-28         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee SBLC Issuance ...    | PK2GISC000011777         | PK2GISC000011777   | DataEnrichment               | 22-03-28         | PK2    | 000325          |    |
| Edit   | Medium   | Guarantee Advise Internal ...  | PK2IGIA000011776         | PK2IGIA000011776   | Registration                 | 22-03-28         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic ExportLC Amendme...    | PK2IETB000011585         | PK2IETB000011585   | DataEnrichment               | 22-03-23         | PK2    | 001204          |    |
| Edit   | Medium   | Islamic ExportLC Amendme...    | PK2IETB000011582         | PK2IETB000011582   | DataEnrichment               | 22-03-22         | PK2    | 001204          |    |
| Edit   | High     | Guarantee SBLC Advised ...     | PK2GADC000011460         | PK2GADC000011460   | Approval Task Level 1        | 22-03-19         | PK2    | 001044          |    |

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

| Action | Priority | Process Name                   | Process Reference Number | Application Number | Stage                        | Application Date | Branch | Customer Number | An |
|--------|----------|--------------------------------|--------------------------|--------------------|------------------------------|------------------|--------|-----------------|----|
| Edit   | Medium   | Islamic Export LC Cancellation | PK2ELCC000012122         | PK2ELCC000012122   | DataEnrichment               | 22-04-03         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Import Documentar...   | PK2IIDL000011111         | PK2IIDL000011111   | AmountBlock Exception App... | 22-03-11         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Export Documentar...   | PK2IEDU000012121         | PK2IEDU000012121   | DataEnrichment               | 22-04-03         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic Export Documentar...   | 000IEDB000012120         | 000IEDB000012120   | Approval Task Level 1        | 22-04-02         | PK2    | 000335          |    |
| Edit   | Medium   | Islamic Guarantee Advice C...  | PK2IGCD000011999         | PK2IGCD000011999   | Approval Task Level 1        | 22-03-31         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee Cancellation Isla... | PK2IGCU000011961         | PK2IGCU000011961   | DataEnrichment               | 22-03-31         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee SBLC Issuance-C...   | PK2IGCU000011844         | PK2IGCU000011844   | Approval Task Level 1        | 22-03-29         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee Advise Internal ...  | PK2IGIA000011779         | PK2IGIA000011779   | DataEnrichment               | 22-03-28         | PK2    | 001044          |    |
| Edit   | Medium   | Guarantee SBLC Issuance ...    | PK2GISC000011777         | PK2GISC000011777   | DataEnrichment               | 22-03-28         | PK2    | 000325          |    |
| Edit   | Medium   | Guarantee Advise Internal ...  | PK2IGIA000011776         | PK2IGIA000011776   | Registration                 | 22-03-28         | PK2    | 001044          |    |
| Edit   | Medium   | Islamic ExportLC Amendme...    | PK2IETB000011585         | PK2IETB000011585   | DataEnrichment               | 22-03-23         | PK2    | 001204          |    |
| Edit   | Medium   | Islamic ExportLC Amendme...    | PK2IETB000011582         | PK2IETB000011582   | DataEnrichment               | 22-03-22         | PK2    | 001204          |    |
| Edit   | High     | Guarantee SBLC Advised ...     | PK2GADC000011460         | PK2GADC000011460   | Approval Task Level 1        | 22-03-19         | PK2    | 001044          |    |

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Details
- Additional Fields



- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

### 3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

#### 3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Cancel LC**. Refer to [3.2.1 Application Details](#) for more information of the fields.

#### 3.3.1.2 LC Details

The fields listed under this section are same as the fields listed under the [3.2.2 LC Details](#) section in [3.2 Registration](#). Refer to [3.2.2 LC Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [3.2.2 LC Details](#) of [3.2 Registration](#). Provide the details for the amendable fields based on the description in the following table:

| Field                              | Description                                                                                                     | Sample Values |
|------------------------------------|-----------------------------------------------------------------------------------------------------------------|---------------|
| Applicable Rules                   | This field displays the rules of the selected Export LC and user can amend if required.                         |               |
| Date of Expiry                     | This field displays the expiry date of the selected Export LC and user can amend if required.                   |               |
| Place of Expiry                    | This field displays the place of expiry of the selected Export LC and user can amend if required.               |               |
| Currency/Amount                    | This field displays the value of LC along with the currency details of the selected Export LC.                  |               |
| Percentage Credit Amount Tolerance | This field displays the percentage credit amount tolerance details of the selected Export LC.                   |               |
| Additional Amounts Covered         | This field displays the details of additional amount covered of the selected LC and user can amend if required. |               |

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Sample Values |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | <p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> |               |
| Remarks   | Provide any additional information regarding the LC. This information can be viewed by other users processing the request.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Overrides | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Message  | Click Common Group Message button, to send MT799 and MT999 messages from within the task.                                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| View LC               | Enables the user to view the latest LC values displayed in the respective fields.                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Save & Close          | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                             |               |
| Cancel                | Cancel the Scrutiny stage inputs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                                                                                    |               |
| Reject                | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>                                 |               |

| Field | Description                                                                                                                                                                                                                                                                                                  | Sample Values |
|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> |               |
| Next  | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                             |               |

### 3.3.2 Payment Details

A DE User can verify the Payment details data segment of Islamic Export LC Cancel request.

The screenshot shows the Oracle Banking Trade Finance application interface. The top navigation bar includes the Oracle logo and user information. The main menu on the left lists options like Main Details, Payment Details, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The central area is titled 'Payment Details' and contains several sections: 'Payment Details' with fields for 49G-Special Payment conditions for beneficiary, 49H-Special Payment conditions for receiving bank, 49I-Period for Presentation, and 49J-Confirmation Instructions; 'Partial Confirmation Allowed' with a toggle switch; 'Requested Confirmation Party Type' with a dropdown; '57A-Advise Through Bank' with a dropdown; '71N - Amendment charges payable by' with a dropdown; 'Information to Issuing Bank' with fields for 72-Sender to Receiver Information, 79Z Narrative, Issuing Bank Date, Issuing Bank Account No, 57a - Account with Bank, and Charges to be Claimed; and 'Information to Advise Through Bank' with a field for 72-Sender to Receiver Information. The bottom of the screen features a toolbar with buttons for Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Provide the Payment Details based on the description in the following table:

| Field                                      | Description                                                                                                                                                          | Sample Values |
|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Special Payment conditions for beneficiary | <p>Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.</p> |               |

| Field                                         | Description                                                                                                                                                                                                                                                                                                                                                                          | Sample Values |
|-----------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Special Payment conditions for receiving bank | Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary.                                                                                              |               |
| Period for Presentation                       | Read-only field.<br>Displays the period of presentation.                                                                                                                                                                                                                                                                                                                             |               |
| Confirmation Instructions                     | Read-only field.<br>Displays the confirmation instruction for the LC.                                                                                                                                                                                                                                                                                                                |               |
| Partial Confirmation Allowed                  | Read-only field.<br>If toggle on, Indicates if LC has partial confirmation,                                                                                                                                                                                                                                                                                                          |               |
| Silent Confirmation                           | Read-only field.<br>Indicates whether silent confirmation is added to an LC.                                                                                                                                                                                                                                                                                                         |               |
| Confirmation%                                 | Read-only field.<br>Displays the confirmation percentage.                                                                                                                                                                                                                                                                                                                            |               |
| Confirmation Amount                           | Provide the confirmation percentage.<br><br><div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> <p><b>Note:</b></p> <p>1) This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> and <b>Partial Confirmation Toggle</b> is 'On'.</p> <p>– 2) This field is alternate to '<b>Confirmation Amount</b>'.</p> </div> |               |
| To be confirmed by Advising Bank              | <p><b>Toggle On:</b> Set the toggle on to confirm by advising bank.</p> <p><b>Toggle Off:</b> Set the toggle off for not to be confirmed by advising bank.</p>                                                                                                                                                                                                                       |               |

| Field                        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Requested Confirmation Party | <p>Select the requested confirmation party from LOV.</p> <p>Online and Non-Online Channels – Provide requested confirmation party details.</p> <hr/> <p><b>Note</b></p> <p>This field is applicable only for LC Type - Confirmed LC.</p> <hr/>                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Reimbursing Bank             | <p>If reimbursing bank is applicable user must update the field.</p> <p>Online Channel - Update the details received.</p> <p>Non online channel - Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>                                                                                                    |               |
| Advise Through Bank          | <p>Online Channel – User can update the details received.</p> <p>Non Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <hr/> <p><b>Note</b></p> <p>In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <hr/> |               |
| Instructions to P/A/N Bank   | <p>Online Channel- User can update details received.</p> <p>Non online channel – Provide the details in this field.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |               |

| Field                          | Description                                                                                       | Sample Values |
|--------------------------------|---------------------------------------------------------------------------------------------------|---------------|
| Sender to Receiver Information | Online Channel – User can update details received.<br>Non Online Channel – Provide details (FFT). |               |
| Charges                        | Online Channel – User can update details received.<br>Non Online Channel – Provide details (FFT). |               |
| Amendment charges payable by   | Read only field.                                                                                  |               |

### Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

| Field                          | Description                                                                                    | Sample Values |
|--------------------------------|------------------------------------------------------------------------------------------------|---------------|
| Sender to Receiver Information | Select a FFT to provide the additional information to receiver.                                |               |
| Narrative                      | Select a FFT to provide the additional information from the advising bank to the issuing bank. |               |
| Issuing Bank Account No        | Select the issuing bank account number from the LOV.                                           |               |
| Charges to be Claimed          | Select the FFT from the LOV for the charges to be claimed.                                     |               |
| Charges                        | Provide the charge details for advising.                                                       |               |
| Issuing Bank Date              | Select the issuing bank date.                                                                  |               |
| Account with Bank              | Select the account to which the charges needs to be paid.                                      |               |

### Information to Advise Through Bank

Provide Information to Advise Through Bank details based on the description in the following table:

| Field                          | Description                                                     | Sample Values |
|--------------------------------|-----------------------------------------------------------------|---------------|
| Sender to Receiver Information | Select a FFT to provide the additional information to receiver. |               |

### 3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                             | Sample Values |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents    | Upload the required documents.                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Remarks      | Provide any additional information regarding the LC. This information can be viewed by other users processing the request.                                                                                                                                                                                                                                                                                                                              |               |
| View LC      | Enables the user to view the latest LC values displayed in the respective fields.                                                                                                                                                                                                                                                                                                                                                                       |               |
| Overrides    | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                       |               |
| Save & Close | Save the informations provided and holds the task in you queue for working later.<br>This option will not submit the request                                                                                                                                                                                                                                                                                                                            |               |
| Cancel       | Cancel Scrutiny stage inputs and return to dash-board.                                                                                                                                                                                                                                                                                                                                                                                                  |               |
| Hold         | The details provided will be registered and status will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.                                                                                                                                                                                                                                            |               |
| Reject       | On click of Reject, user must select a reject reason from a list displayed by the system.<br>Reject Codes: <ul style="list-style-type: none"><li>● R1- Documents missing</li><li>● R2- Signature Missing</li><li>● R3- Input Error</li><li>● R4- Insufficient Balance/Limits</li><li>● R5 - Others.</li></ul> Select a reject code and give a reject description.<br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer        | User must select a Refer Reason from the values displayed by the system.<br>Refer Codes: <ul style="list-style-type: none"><li>● R1- Documents missing</li><li>● R2- Signature Missing</li><li>● R3- Input Error</li><li>● R4- Insufficient Balance/Limits</li><li>● R5 - Others.</li></ul>                                                                                                                                                             |               |



| Field | Description                                                                                                                                                                                                               | Sample Values |
|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Next  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. |               |

### 3.3.3 Additional Fields

Banks can configure these additional fields during implementation.

imic Export LC Cancellation - DataEnrichment :: Application No: PK2ELCC000062800

Additional Fields

Additional Fields

No Additional fields configured!

Screen ( 3 )

Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

| Field        | Description                                                                                                                  | Sample Values |
|--------------|------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents    | Upload the required documents.                                                                                               |               |
| Remarks      | Provide any additional information regarding the LC. This information can be viewed by other users processing the request.   |               |
| View LC      | Enables the user to view the latest LC values displayed in the respective fields.                                            |               |
| Overrides    | Click to view the overrides accepted by the user.                                                                            |               |
| Save & Close | Save the informations provided and holds the task in you queue for working later.<br>This option will not submit the request |               |
| Cancel       | Cancel Scrutiny stage inputs and return to dash-board.                                                                       |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Hold   | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>                                                                                                                                                                                                                                                             |               |
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Refer  | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>                                                                                                                                                                        |               |
| Next   | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>                                                                                                                                                                                                                                                    |               |

### 3.3.4 Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level. The user can verify the advices details Data Segment of the Islamic Export LC Cancel request.

Islamic Export LC Cancellation  
Enrichment :: Application No:- PK2ELCC000012123

Documents Remarks Overrides Customer Instruction Common Group Messages View LC

Main Details  
Payment Details  
Additional Fields  
**Advices**  
Additional Details  
Settlement Details  
Summary

Advices

| Advice : LC_CANCEL_ADV                                                                                                                | Advice : PAYMENT_MESS...                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| Advice Name : <b>LC_CANCEL_ADV</b><br>Advice Party : <b>BEN</b><br>Party Name : <b>GOODCARE PLC</b><br>Suppress : <b>NO</b><br>Advice | Advice Name : <b>PAYMENT_MESSAGE</b><br>Advice Party :<br>Party Name :<br>Suppress : <b>NO</b><br>Advice |

Screen ( 4 )

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details  
Suppress Advice

Party ID  
032204

Advice Name  
AMD\_EXP\_CR

Medium  
MAIL

Advice Party  
BEN

Party Name  
Air Arabia

FFT Code

| FFT Code     | FFT Description | Action                                                                                                                                                                      |
|--------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 29BNKCONTACT |                 |   |

Instructions

| Instruction Code | Instruction Description                       | Edit                                                                                  | Action                                                                                                                                                                      |
|------------------|-----------------------------------------------|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| E202             | . IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNC |  |   |

OK Cancel

| Field           | Description                                                                                                                                                       | Sample Values |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Suppress Advice | <b>Toggle on:</b> Switch on the toggle if advice is suppressed.<br><b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments |               |
| Advice Name     | User can select the instruction code as a part of free text.                                                                                                      |               |
| Medium          | The medium of advices is defaulted from the system. User can update if required.                                                                                  |               |
| Advice Party    | Value be defaulted from Export LC. User can update if required.                                                                                                   |               |

| Field                                                                               | Description                                                            | Sample Values |
|-------------------------------------------------------------------------------------|------------------------------------------------------------------------|---------------|
| Party ID                                                                            | Value be defaulted from Export LC Advise. User can update if required. |               |
| Party Name                                                                          | Read only field.<br>Value be defaulted from Export LC Advise.          |               |
| Free Format Text                                                                    |                                                                        |               |
| FFT Code                                                                            | User can select the FFT code as a part of free text.                   |               |
| FFT Description                                                                     | FFT description is populated based on the FFT code selected.           |               |
|    | Click plus icon to add new FFT code.                                   |               |
|    | Click minus icon to remove any existing FFT code.                      |               |
| Instruction Details                                                                 |                                                                        |               |
| Instruction Code                                                                    | User can select the instruction code as a part of free text.           |               |
| Instruction Description                                                             | Instruction description is populated based on the FFT code selected.   |               |
|  | Click plus icon to add new instruction code.                           |               |
|  | Click minus icon to remove any existing instruction code.              |               |

#### 3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

| Field     | Description                                                                                                                | Sample Values |
|-----------|----------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Upload the required documents.                                                                                             |               |
| Remarks   | Provide any additional information regarding the LC. This information can be viewed by other users processing the request. |               |
| View LC   | Enables the user to view the latest LC values displayed in the respective fields.                                          |               |

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Sample Values |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Overrides    | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Save & Close | Save the informations provided and holds the task in you queue for working later.<br>This option will not submit the request                                                                                                                                                                                                                                                                                                                                      |               |
| Cancel       | Cancel Scrutiny stage inputs and return to dash-board.                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Hold         | The details provided will be registered and status will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.                                                                                                                                                                                                                                                      |               |
| Reject       | On click of Reject, user must select a reject reason from a list displayed by the system.<br><br>Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a reject code and give a reject description.<br>This reject reason will be available in the remarks window throughout the process. |               |
| Refer        | User must select a Refer Reason from the values displayed by the system.<br><br>Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>                                                                                                                                                             |               |
| Next         | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                         |               |

### 3.3.5 Additional Details

A DE User can verify and enter the basic additional details of Islamic Export LC Cancel request.

Islamic Export LC Cancellation  
Application No: PK2ELCC000012123

DocumentsRemarksOverridesCustomer InstructionCommon Group MessagesView LC

Main DetailsPayment DetailsAdditional FieldsAdvicesAdditional DetailsSettlement DetailsSummary

Additional Details

Preview Messages

Language :  
Preview Advice : -

Commission, Charges and Taxes

Charge : GBP 50  
Commission :  
Tax :  
Block Status :

Limits and Collaterals

Limit Currency :  
Limit Contribution :  
Limit Status :  
Collateral Currency : USD  
Collateral : 96800  
Contribution :  
Collateral Status :

Screen ( 5)

uditRejectReferHoldCancelSave & CloseBackNe

#### 3.3.5.1 Limits & Collateral

Limit availability needs to be checked if involves increase in amount or tolerance or both. This is applicable for confirmation of the unconfirmed LC or increasing the amount or tolerance if already LC is already confirmed.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

##### Limit Details

| Customer ID         | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|---------------------|----------------------|------------------|
| No data to display. |              |                  |                        |             |                |                       |                     |                      |                  |

##### Cash Collateral Details

Collateral Percentage \*  
20.0

Collateral Currency and amount  
GBP £220.00

Exchange Rate

Sequence Number

Settlement Account Currency

Settlement Account

Exchange Rate

Collateral %

Contribution Amount

Contribution Amount in Account Currency

Account Balance Check Response

1

PK20010440017

1

100

##### Deposit Linkage Details

| Deposit Account  | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit             | Delete |
|------------------|------------------|-----------------------|----------------------|-------------------------------------------|--------------------------------------|------------------|--------|
| PK2CDP1221100002 | GBP              | 2023-04-20            | GBP                  | 87508                                     | £495.00                              | PK2CDP1221100002 |        |

Save & CloseCancel

| Limit Details                                                                                                              |                                           |
|----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| Customer Id<br>001044                                                                                                      | Linkage Type *<br>Facility                |
| Contribution % *<br>1.0                                                                                                    | Liability Number *<br>PK2LIAB01           |
| Contribution Currency<br>GBP                                                                                               | Line Id/Linkage Ref No *<br>PK2L01SL1     |
| Limit/Liability Currency<br>GBP                                                                                            | Limits Description                        |
| Limit Check Response<br>Available                                                                                          | Contribution Amount *<br>£220.00          |
| Expiry Date                                                                                                                | Limit Available Amount<br>£999,999,903.89 |
| Response Message<br>The Earmark can be performed as the f                                                                  | ELCM Reference Number                     |
| <input type="button" value="Verify"/> <input type="button" value="Save &amp; Close"/> <input type="button" value="Close"/> |                                           |

### Collateral Details

| Collateral Details                               |                                     |
|--------------------------------------------------|-------------------------------------|
| Total Collateral Amount *                        | Collateral Amount to be Collected * |
| Sequence Number<br>1.0                           | Collateral Split % *<br>16.6667     |
| Collateral Contribution Amount *<br>AED 1,500.00 | Settlement Account *<br>Q           |
| Settlement Account Currency                      | Exchange Rate                       |
| Contribution Amount in Account Currency          | Account Available Amount            |
| Response<br>VN                                   | Response Message                    |
| <input type="button" value="X Cancel"/>          |                                     |

### Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying

transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Deposit Account

Deposit Available Amount

Exchange Rate

Linkage Percentage % \*

Deposit Branch

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) \*

Save & Close
Close

Details of Limit, Collateral and deposits linked to the LC which is displayed in this section.

All the field values are display only and user can not modify the values.

### 3.3.5.2 Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate
Redefault

Commission Details

nt

nt Description

| Component           | Rate | Modified Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|------|---------------|----------|--------|----------|-------|-------|--------------|--------------------|
| No data to display. |      |               |          |        |          |       |       |              |                    |

Page 1 (0 of 0 items)

Charge Details

| Component           | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. |              |            |          |        |          |         |       |       |              |                    |

Page 1 (0 of 0 items)

Tax Details

| Component           | Type | Value Date | Currency | Amount | Billing | Defer | Settlement Account |
|---------------------|------|------------|----------|--------|---------|-------|--------------------|
| No data to display. |      |            |          |        |         |       |                    |

Save & Close
Cancel



Provide the Commission Details based on the description provided in the following table:

| Field             | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Sample Values |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Event             | Read only field.<br>This field displays the event name.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Event Description | Read only field.<br>This field displays the description of the event.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Component         | Select the commission component                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Rate              | Defaults from product.<br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.                                                                                                                                                                                                                                                      |               |
| Modified Rate     | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Currency          | Defaults the currency in which the commission needs to be collected                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Amount            | An amount that is maintained under the product code defaults in this field.<br>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly.<br>The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.<br><br>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. |               |
| Modified          | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |
| Defer             | Select the check box, if charges/commissions has to be deferred and collected at any future step.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                                | Sample Values |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Waive              | <p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p> |               |
| Charge Party       | Charge party will be 'Beneficiary' by Default. You can change the value to Applicant                                                                                                                                                                                                                                                       |               |
| Settlement Account | Details of the Settlement Account.                                                                                                                                                                                                                                                                                                         |               |

### 3.3.5.3 Charge Details

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component    | Charge Component type.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Tag Currency | Defaults the tag currency in which the charges have to be collected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Tag Amount   | Tag amount that is maintained under the product code.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Currency     | Defaults the currency in which the charges have to be collected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |
| Amount       | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.                                                                                                                                                                                                                                                                                                                                                                                                                       |               |
| Modified     | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Billing      | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> |               |
| Defer        | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>                                                      |               |
| Waive        | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>                                                                                                                                                                                                                                                                                                         |               |
| Charge Party | Charge party will be beneficiary by default. You can change the value to applicant.                                                                                                                                                                                                                                                                                                                                                                                                                                                           |               |

| Field              | Description                        | Sample Values |
|--------------------|------------------------------------|---------------|
| Settlement Account | Details of the settlement account. |               |

#### 3.3.5.4 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

| Field              | Description                                                                                                                                                                                                                                         | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component          | Tax Component type                                                                                                                                                                                                                                  |               |
| Type               | This field displays the type of tax Component.                                                                                                                                                                                                      |               |
| Value Date         | This field displays the value date of tax component.                                                                                                                                                                                                |               |
| Currency           | The tax currency is the same as the commission.                                                                                                                                                                                                     |               |
| Amount             | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.                                                                                                                                |               |
| Billing            | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.<br><br>This field is disabled, if 'Defer' toggle is enabled.                                      |               |
| Defer              | If taxes have to be deferred and collected at any future step, this option has to be enabled.<br><br>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |               |
| Settlement Account | Details of the settlement account.                                                                                                                                                                                                                  |               |

### 3.3.6 Settlement Details

A DE User can verify and enter the basic settlement details of Islamic Export LC Cancel request.

Islamic Export LC Cancellation - DataEnrichment :: Application No: PK2ELCC000062800

Screen ( 6 )

Settlement Details

☐ Current Event

| Component       | Currency | Debit/Credit | Account       | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Exchange Rate | Deal Reference |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|------------------------|---------------|----------------|
| AILSR_COM1_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| AILSR_COM1_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| AILSR_COM1_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| AILSR_COMM_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| AILSR_COMM_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| AILSR_COMM_LIQD | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |
| APCHCLASS_LIQD  | GBP      | Debit        | 152110003     | Domestic Export Sig | GBP              | No                | No            |                        |               |                |
| APCHCLASS_LIQD  | GBP      | Debit        | 152110003     | Domestic Export Sig | GBP              | No                | No            |                        |               |                |
| APCHCLASS_LIQD  | GBP      | Debit        | 152110003     | Domestic Export Sig | GBP              | No                | No            |                        |               |                |
| ARC1_LIQD       | GBP      | Debit        | PK20010440017 | GOODCARE PLC        | GBP              | No                | No            |                        |               |                |

dit

Reject Refer Hold Cancel Save & Close Back Next

| Field               | Description                                                                                                                                                                                                                                         | Sample Values |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Current Event       | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |               |
| Component           | Components gets defaulted based on the product selected.                                                                                                                                                                                            |               |
| Currency            | System displays the default currency for the component.                                                                                                                                                                                             |               |
| Debit/Credit        | System displays the debit/credit indicators for the components.                                                                                                                                                                                     |               |
| Account             | System displays the account details for the components.                                                                                                                                                                                             |               |
| Account Description | System displays the description of the selected account.                                                                                                                                                                                            |               |
| Account Currency    | System defaults the currency for all the items based on the account number.                                                                                                                                                                         |               |
| Netting Indicator   | System defaults the applicable netting indicator.                                                                                                                                                                                                   |               |
| Current Event       | System defaults the current event as Y or N.                                                                                                                                                                                                        |               |

| Field                  | Description                                                                                     | Sample Values |
|------------------------|-------------------------------------------------------------------------------------------------|---------------|
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF |               |
| Exchange Rate          | The exchange rate.                                                                              |               |
| Deal Reference Number  | The exchange deal reference number.                                                             |               |

### 3.3.7 Summary

User can review the summary of details updated in Data Enrichment stage Export LC Cancellation - Islamic request.

As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values.

Oracle My Tasks (DEFAULTTENTIVITY) (PK2) May 6, 2019 SRIDHAI subhram@gmail.com

nic Export LC Cancellation - DataEnrichment :: Application No: PK2ELCC000062800

Screen ( 7 / )

| Main Details                                                                                                                                                                | Payment Details                                                                                                                                                                                                          | Additional Fields                                                                                                                       | Advices                                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| Form of LC : <b>IRREVOCABLE</b><br>Submission Mode : <b>Desk</b><br>Date of Issue : <b>2019-05-06</b><br>Date of Expiry : <b>2019-10-12</b><br>Place of Expiry : <b>CHN</b> | Period of Present. :<br>Confirmation Instr. : <b>WITHOUT</b>                                                                                                                                                             | Click here to view Additional fields                                                                                                    | Advice 1 :<br>Advice 2 :                |
| Preview Messages                                                                                                                                                            | Limits and Collaterals                                                                                                                                                                                                   | Commission, Charges and Taxes                                                                                                           | Accounting Details                      |
| Language : <b>ENG</b><br>Preview Message : -                                                                                                                                | Limit Currency : <b>USD</b><br>Limit Contribution : <b>1001</b><br>Limit Status : <b>Not Verified</b><br>Collateral Currency : <b>GBP</b><br>Collateral Contr. : <b>80.08</b><br>Collateral Status : <b>Not Verified</b> | Charge : <b>Not Available</b><br>Commission : <b>Not Available</b><br>Tax : <b>Not Available</b><br>Block Status : <b>Not Available</b> | Event :<br>Account Number :<br>Branch : |
| Settlement Details                                                                                                                                                          |                                                                                                                                                                                                                          |                                                                                                                                         |                                         |
| Component : <b>LCXADV_LIQD</b><br>Account Number : <b>PK20010440</b><br>Currency : <b>GBP</b>                                                                               |                                                                                                                                                                                                                          |                                                                                                                                         |                                         |

Reject Refer Hold Cancel Save & Close Back Next Submit

#### Tiles Displayed in Summary

- Main Details - User can view about application details and LC details.
- Party Details - User can view details like beneficiary, issuing bank etc..
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Preview - User can view the preview messages.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

### 3.3.7.1 Action Buttons

Use action buttons based on the description in the following table:

| Field        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Sample Values |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents    | Upload the required documents.<br><br>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.                                                                                                                                                                                                                                                     |               |
| Remarks      | Provide any additional information regarding the LC. This information can be viewed by other users processing the request.                                                                                                                                                                                                                                                                                                                                      |               |
| View LC      | Enables the user to view the latest LC values displayed in the respective fields.                                                                                                                                                                                                                                                                                                                                                                               |               |
| Overrides    | Click to view the overrides accepted by the user.                                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Save & Close | Save the information provided and holds the task in you queue for working later.<br><br>This option will not submit the request                                                                                                                                                                                                                                                                                                                                 |               |
| Cancel       | Cancel the Data Enrichment stage inputs.                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Hold         | The details provided will be registered and status will be on hold.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                                                                                         |               |
| Reject       | On click of Reject, user must select a Reject Reason from a list displayed by the system.<br><br>Reject Codes: <ul style="list-style-type: none"><li>● R1- Documents missing</li><li>● R2- Signature Missing</li><li>● R3- Input Error</li><li>● R4- Insufficient Balance/Limits</li><li>● R5 - Others.</li></ul> Select a Reject code and give a Reject Description.<br><br>This reject reason will be available in the remarks window throughout the process. |               |

| Field | Description                                                                                                                                                                                                                                                                                                  | Sample Values |
|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> |               |

## 3.4 Exceptions

The Export LC Cancellation request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### 3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.



Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### 3.4.1.1 Amount Block Exception

This section will display the amount block exception details.

Islamic Export LC Cancellation - AmountBlock Exception Approval :: Application No:- PK2IGAI000011876

Remarks Documents Checklis

Amount Block Exception

Summary

Amount Block Exception Details

| Type                | Contract Currency | Block Amount | Branch | Account | Account Currency | Block Ref No | Block Status | Block Status |
|---------------------|-------------------|--------------|--------|---------|------------------|--------------|--------------|--------------|
| No data to display. |                   |              |        |         |                  |              |              |              |

Reject Hold Refer Cancel Approve Back

### 3.4.1.2 Summary

Futura Bank My Tasks

Bank Futura - (203) 04/13/18 SRID

Islamic Export LC Cancellation - AmountBlock Exception Approval :: Application No:- PK2IGAI000011876

Documents Remarks Overrides Customer Instruction Common Group Messages View LC

Amount Block Exception Summary

| Main Details                                                                                                                                                                  | Payment Details                                                                                                                                                                      | Additional Fields                                                     | Advices                                 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|
| Form of LC : <b>IRREVOCABLE</b><br>Submission Mode : <b>Desk</b><br>Date of Issue : <b>2021-05-05</b><br>Date of Expiry : <b>2021-08-03</b><br>Place of Expiry : <b>xcvvv</b> | Period of Present. : <b>21</b><br>Confirmation Instr. : <b>WITHOUT</b>                                                                                                               | Click here to view :<br>Additional fields                             | Advice 1 :<br>Advice 2 :                |
| Preview Messages                                                                                                                                                              | Limits and Collaterals                                                                                                                                                               | Commission,Charges and Taxes                                          | Accounting Details                      |
| Language : <b>ENG</b><br>Preview Message : -                                                                                                                                  | Limit Currency :<br>Limit Contribution :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency : <b>GBP</b><br>Collateral Contr. :<br>Collateral Status : <b>Not Verified</b> | Charge :<br>Commission :<br>Tax :<br>Block Status : <b>Not Initia</b> | Event :<br>Account Number :<br>Branch : |

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view details provided for charges.

### 3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>                                                                                                  |               |
| Cancel  | <p>Cancel the Export LC Cancellation Amount Block Exception check.</p>                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>                                                                                                                                                                                                                                                                                                                                                    |               |
| Back    | <p>Task moves to previous logical step.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |

## 3.5 Multi Level Approval

A User can view the summary of details updated in multilevel approval stage of Islamic Export LC Cancel request.

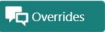
Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.5.1 Summary

amicExport LC Cancellation - Approval Task Level 1 :: Application No: PK2ELCC000062789



| Main Details                                                                                                                                                                 | Payment Details                                                                                                                                                                      | Additional Fields                                                     | Advices                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|
| Form of LC : <b>IRREVOCABLE</b><br>Submission Mode : <b>Desk</b><br>Date of Issue : <b>2021-05-05</b><br>Date of Expiry : <b>2021-08-03</b><br>Place of Expiry : <b>dfdg</b> | Period of Present. :<br>Confirmation Instr. : <b>MAY ADD</b>                                                                                                                         | Click here to view :<br>Additional fields                             | Advice 1 :<br>Advice 2 :                |
| Preview Messages                                                                                                                                                             | Limits and Collaterals                                                                                                                                                               | Commission,Charges and Taxes                                          | Accounting Details                      |
| Language : <b>ENG</b><br>Review Message : -                                                                                                                                  | Limit Currency :<br>Limit Contribution :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency : <b>GBP</b><br>Collateral Contr. :<br>Collateral Status : <b>Not Verified</b> | Charge :<br>Commission :<br>Tax :<br>Block Status : <b>Not Initia</b> | Event :<br>Account Number :<br>Branch : |





#### Tiles Displayed in Summary:

- Main Details - User can view about application details and LC details.
- Party Details - User can view details like beneficiary, issuing bank etc..
- Availability - User can view availability details.
- Payment - User can view payment details.
- Document & Conditions - User can view the details of document and conditions.
- Revolving Details - User can view the revolving details.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Preview - User can view the preview messages.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.5.1.1 Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Sample Values |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject  | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold    | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                |               |
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>                                                                                                  |               |
| Cancel  | Cancel the approval.                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>                                                                                                                                                                   |               |

## 3.6 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Cancellation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### 3.6.1 **Application Details**

The application details data segment have values for requests received from both non-online and online channels.

### 3.6.2 **Summary**

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.6.3 **Action Buttons**

Use action buttons based on the description in the following table:

| Field          | Description                                                                                                                                   | Sample Values |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject Approve | On click of Reject Approve, the transaction is rejected.                                                                                      |               |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. |               |
| Hold           | User can put the transaction on 'Hold'. Task will remain in Pending state.                                                                    |               |
| Cancel         | Cancel the Reject Approval.                                                                                                                   |               |



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